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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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in re application of )  
Robert Rascon )  
Application No. 10/668,712 )  
Filed: 09/23/2003 )  
For: RETENTION APPARATUS AND METHOD )  
FOR STABILIZING CONCRETE FORMS )

San Jose, CA 95150  
July 14, 2006

Mail Stop PETITION  
Commissioner or Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Honorable Shirene Brantley:

This is in response to the Office of Petitions communication dated July 10, 2006 by which applicant's Petition to Revive filed on June 12, 2006 bearing a Certificate of Mailing dated June 11, 2006 was dismissed for failure to provide sufficient funds to cover the Petition Fee, the deficiency of funds submitted amounting to \$85.00.

Accordingly, as urged by the communication dated July 10, 2006 from the Petitions Office, there is attached hereto my check No. 11725 in the face amount of \$85.00 to cover the deficiency noted in the July 10, 2006 communication from the Petitions Office.

27/20/2006 TRESAULT 00000124 10668712  
Respectfully submitted

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258.00 0P

Robert Rascon

By

  
John J. Leavitt



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
[www.uspto.gov](http://www.uspto.gov)



JOHN J. LEAVITT  
P.O. BOX 6478  
SAN JOSE CA 95150-6478

COPY MAILED

JUL 10 2006  
OFFICE OF PETITIONS

In re Application of  
Rascon  
Application No. 10/668,712  
Filed: September 23, 2003  
Attorney Docket No. 4615  
For: RETENTION APPARATUS AND METHOD  
FOR STABILIZING CONCRETE FORMS

ON PETITION

This is a decision on the petition under 37 CFR 1.137(a), filed on June 12, 2006 (certificate of mailing date June 11, 2006).

The petition under 37 CFR 1.137(a) is **DISMISSED**.

Any further petition to revive the above-identified application must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Petition under 37 CFR 1.137(a)." This is **not** final agency action within the meaning of 5 U.S.C. § 704.

The above-identified application became abandoned for failure to timely reply to the Notice of Allowance and Fee(s) Due, mailed February 16, 2006, which set a non-extendable three month period for reply. No timely reply being received, this application became abandoned on May 17, 2006. A Notice of Abandonment was mailed on June 23, 2006.

A grantable petition to revive an abandoned application under 37 CFR 1.137(a) must be accompanied by (1) the required reply, unless previously filed; (2) the petition fee as set forth in § 1.17(l) (\$250.00); (3) a showing to the satisfaction of the Commissioner that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unavoidable; and (4) a terminal disclaimer, if required.

Any revival petition under 37 CFR 1.137 must be accompanied by the petition fee. The payment of a petition fee to obtain the revival of an abandoned application is a statutory prerequisite to revival of the abandoned application, and cannot be waived.

The phrase "[o]n filing" in 35 U.S.C. § 41(a)(7) means that the petition fee is required for the filing (and not merely the grant) of a petition under 37 CFR 1.137. See H.R.Rep. No. 542, 97th Cong., 2d Sess. 6 (1982), *reprinted in* 1982 U.S.C.C.A.N. 770 ("[the fees set forth in this section are due on filing the petition"). Accordingly, the Director is statutorily precluded from further review of the petition until the petition fee is paid in full.

A review of Office records indicates that petitioner has paid a \$700.00 issue fee, a \$110.00 terminal disclaimer fee, and a \$55.00 petition fee. Since a terminal disclaimer is not required in this case, the money that would have been applied to the terminal disclaimer fee will be applied towards the \$250.00 petition fee. Therefore, petitioner owes \$85.00.

The petition under 37 CFR 1.137(a) is dismissed.

**Petitioner is urged to submit the \$85.00 balance due for the petition under 37 CFR 1.137(a)  
The merits of the instant petition will not be addressed until the petition fee is paid.**

Further correspondence with respect to this matter should be addressed as follows:

**By mail:** Mail Stop PETITION  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

**By hand:** U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop Petition  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

**By FAX:** (571) 273-8300 - ATTN: Office of Petitions

Telephone inquiries pertaining to this matter may be directed to the undersigned at (571) 272-3230.



Shirene Willis Brantley  
Senior Petitions Attorney  
Office of Petitions